

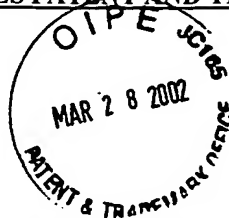
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cyrus R. Safinya et al.  
Serial No.: 10/015,078  
Filed: October 26, 2001  
Docket: 30794.32USD1  
Title: MACROMOLECULE-LIPID COMPLEXES AND METHODS FOR MAKING AND USING



CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this paper or fee is being deposited with the United States Postal as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on March 19, 2002.

By:   
Name: Richelle Ann Domingo

35 N. Arroyo Parkway, Suite 60  
Pasadena, California 91103  
March 19, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:


We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8
- ☒ Information Disclosure Statement (37 C.F.R. §1.97 (b)(3)) (2 pages)
- ☒ Form 1449 (Information Disclosure Statement) (4 sheets)
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

MANDEL & ADRIANO

35 No. Arroyo Parkway, Suite 60  
Pasadena, California 91103  
(626)395-7801

By: 

Name: Sarah B. Adriano  
Reg. No.: 34,470  
Initials: SBA



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** C. Safinya, et al. **Examiner:** Not yet known  
**Serial No.:** 10/015,078 **Group Art Unit:** 2661  
**Filed:** October 26, 2001 **Docket No.:** 30794.32USD1  
**Title:** MACROMOLECULE-LIPID COMPLEXES AND METHODS FOR MAKING AND USING

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 19, 2002.

*Renato Marco P. Domingo*  
By: Renato Marco P. Domingo

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(3))**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. This statement should be considered because it is submitted before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. §1.98(d), copies of the references on the enclosed Form 1449 are not required, as the references were submitted and acknowledge by the Examiner of the parent application, U.S. Serial No. 09/105,571. In addition, Applicants respectfully request the Examiner to consider the references cited by the Examiner in the parent application in connection with the examination of this application.

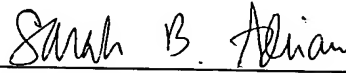
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish

that the reference(s) are not "prior art." Moreover, Applicants do not represent that the references have been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,



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